Part I

Overview of Psychiatric-Mental Health Nursing

Chapter 1  Introduction to Psychiatric-Mental Health Nursing
          Cecelia M. Taylor and Karen A. Ballard

Chapter 2  Psychiatric-Mental Health Nursing: Practice Issues
          Karen A. Ballard

Chapter 3  The Nurse–Client Relationship and Therapeutic Communication
          Patricia G. O’Brien

Chapter 4  The Psychiatric Nursing Assessment
          Christine Carniaux Moran

Chapter 5  Neurobiologic Considerations in Psychiatric Care
          Karan Kverno and Sherry Goertz

Chapter 6  Psychopharmacology
          Beth Harris & Patricia G. O’Brien

Chapter 7  Crises, Psychiatric Emergencies, and Disasters
          Winifred Z. Kennedy

Chapter 8  Legal and Ethical Considerations
          Amy Wysoker

Chapter 9  Group Theory and Practice
          Winifred Z. Kennedy and Edward J. Madara

Chapter 10 Holistic Nursing and Complementary Modalities
           Jeanne Anselmo and Patricia G. O’Brien

Chapter 11 Family and Intimate Partner Violence
           Claudia Mitzieliotis and Winifred Z. Kennedy
Learning Objectives

After reading this chapter, you will be able to:

› Describe the evolution of psychiatric-mental health nursing practice.
› Explain two key concepts from each of the major theories of personality development including the psychoanalytic, interpersonal, and behavioral conceptual models.
› Discuss the impact on psychiatric-mental health nursing of nursing theorists and their conceptual models.
› Describe the characteristics of individual therapy, family therapy, group therapy, milieu therapy, crisis intervention, and somatic therapies.
› Identify the members of the contemporary multidisciplinary mental health treatment team and describe their distinctive abilities and responsibilities.

Key Terms

Chapter 1

Introduction to Psychiatric-Mental Health Nursing

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The use of the term patient in the first half of this chapter reflects historical usage.

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Society has always adopted measures designed to change the behavior of persons with mental illness. In prehistoric times, those measures were likely to have been tribal rites that, if unsuccessful, probably led to the abandonment of the ill person. During the Greek and Roman eras, the sick were treated in the temples, and treatment ranged from humane care to flogging, bleeding, and purging.

During the Middle Ages, mentally ill persons (the insane) were believed to be possessed by devils. The inhumane treatment of insane persons reached its peak in the 17th century when almshouses, a combination of a jail and an asylum, confined both criminals and those who were mentally ill. The plight of mentally ill persons continued to be poor in the Middle Ages, when their care was determined by mistaken religious beliefs such as being possessed by devils that could be exorcised by whippings and starvation. When the church stopped treating mentally ill persons during the 16th century, they were imprisoned in almshouses, which were a combination of a jail and an asylum. Those who were violent and delusional were placed in jails and dungeons. King Henry VIII officially dedicated Bethlehem Hospital in London as a lunatic asylum, and it soon became known as the notorious “Bedlam,” whose hideous practices were immortalized by Hogarth, the famous cartoonist (Figure 1-1). The more harmless inmates were forced to seek charity on the streets of London; the Bedlam beggars of Shakespeare’s King Lear were based on these prisoners (Taylor, 1994).

In 1792, Philippe Pinel introduced moral therapy. Attendants were required to treat patients kindly and keep them busy with various activities. During the Middle Ages, mentally ill persons (the insane) were believed to be possessed by devils.

**The 18th and 19th Centuries**

In the 18th century, Europe, particularly France, underwent political and social reform. In 1792, Philippe Pinel, the medical director of the Bicêtre asylum outside Paris, introduced a new treatment regimen termed **moral therapy**, based on the belief that mental illness was related to immorality or faulty upbringing and that a therapeutic environment could correct these weaknesses. Instead of harsh confinement, patients were kept busy with work, music, or other diversions. Moral therapy required that attendants treat patients with kindness and keep them involved in the treatment program (Wasserbauer & Brodie, 1992). The Quakers established the York Retreat and brought about the same dramatic reforms in England. The development of moral therapy and its reliance on attendants were the beginnings of current psychiatric nursing care.

The first place identified as a “poorhouse, workhouse, and house of correction” in the United States opened in 1736 in New York City. In 1756, under the guidance of Benjamin Franklin, the Pennsylvania Hospital was completed. One of the first two patients admitted was described as a lunatic. Although patients with a mental illness were relegated to the cellar, they were assured clean bedding and warm rooms. Benjamin Rush (1745–1813), a humanitarian and the father of American psychiatry, began working at Pennsylvania Hospital in 1783. The first public psychiatric hospital in America was built in Williamsburg, Virginia, in 1773 and is known today as Eastern State Hospital.

Dorothea Lynde Dix (1802–1887) was a schoolteacher who volunteered to tutor individuals confined to jails and poorhouses. She was horrified by the conditions in these facilities, and in 1841 began a campaign to convince state legislatures that suitable hospitals, not jails, were required for those with mental illnesses. Twenty states in the United States and the Canadian government responded directly to her appeals by authorizing the construction of large institutions for the mentally ill. This was the beginning of the state hospital system in the United States.
The original intent of the state hospital system was to treat those with mental illness and then discharge them to the community or the care of their families. Because so little was known about mental illness at that time, the goals of treatment and discharge were not able to be achieved. Consequently, state hospitals rapidly became overcrowded with chronically mentally ill patients. Paradoxically, the same state hospitals that were supposed to alleviate the suffering of violent persons who were previously imprisoned contributed to the ultimate demise of moral therapy, because this treatment could not be implemented in overcrowded settings.

In 1844, the Association of Medical Superintendents was formed as psychiatry began to develop as a profession and as physicians became increasingly responsible for the administration of asylums. This organization became the American Medico-Psychological Association in 1851, and was renamed the American Psychiatric Association (APA) in 1921. It was founded by medical superintendents from 13 asylums in the United States (Wasserbauer & Brodie, 1992).

By the 1870s, asylums were considered abysmal institutions with a terrible public image. Searching for ways to improve care, psychiatrists adopted the strategies already in use at general hospitals to improve patient services. These improvements included incorporating effective therapies that had a scientific basis and using graduate nurses instead of attendants. However, asylums were unable to attract enough nurses to improve patient care, so schools of nursing in asylums were established. The first school of this type was established at the McLean Asylum in Massachusetts in 1882 (Wasserbauer & Brodie, 1992).

The 20th Century

At the beginning of the 20th century, treatment was still limited to restraints, isolation, water bath treatments, dietary regimens, and, eventually, early sedative drugs and shock treatments. Noticeable changes occurred in the state hospital system in 1908 when Clifford Beers, a psychiatric patient who was hospitalized several times, wrote a book about his experiences titled A Mind That Found Itself. The book’s revelations led to the founding of the National Committee for Mental Hygiene. The committee was the first organization that espoused the prevention of mental illness and early intervention.

The most significant psychiatric revolution in the early 20th century was a direct result of the work of Sigmund Freud (1856–1939). Freud made great contributions to the understanding of human behavior. Before his theories were introduced, human behavior, particularly the behavior of persons with mental illnesses, was shrouded in superstition, secrecy, and stigma. Freud brought the subject of human behavior to the public’s attention. His theories served as a springboard for the scientific study of human behavior. Although much of Freudian theory is no longer embraced in scientific circles, some of his concepts have become so integrated into the mainstream that they have become part of everyday language (e.g., ego, conscience, unconscious).

The National Mental Health Act, passed in 1946, was one of the most progressive actions addressing mental illness the United States has ever taken. The legislation stemmed from the nation’s concerns about the mental health of its citizens as a result of experiences during World War II. More men in the armed forces were disabled from mental disorders than from all other health problems related to military action. Immediately after the National Mental Health Act was passed, the National Institute of Mental Health (NIMH) was established in 1946. The NIMH provided funding to support research into the causes of mental illness and to provide tuition and stipends for education in the four core mental health disciplines: psychiatry, psychology, psychiatric nursing, and psychiatric social work. Major strides were made in increasing the number of mental health professionals as a result of this funding. For example, in the 1940s, only five to seven graduate programs in psychiatric nursing existed; these numbers expanded greatly in the 1950s and 1960s as a result of NIMH funding.

Psychiatric nursing underwent a major change when Interpersonal Relations in Nursing by Hildegard Peplau, EdD, RN, FAAN was published in 1952, emphasizing the significance of the relationship between the patient and nurse as a treatment modality. Integrating the theories of psychiatrist Harry Stack Sullivan into nursing theory, Dr. Peplau is considered the mother of modern psychiatric nursing. An extensive Internet-based biography on Dr. Peplau, including audio and video information, is available (http://publish.uwo.ca/~cforchuk/peplau/hpcb.html).

The master’s programs in psychiatric nursing have graduated hundreds of clinical nurse specialists (CNSs) in psychiatric nursing. These individuals assumed leadership positions in organized nursing and lobbied for recognition as autonomous practitioners of mental health care, specifically psychotherapy. Currently, many states authorize certified psychiatrists to prescribe medications and provide psychotherapy.
Chapter 1  Introduction to Psychiatric-Mental Health Nursing

In 1953, the National League for Nursing required the inclusion of psychiatric nursing experience and coursework in the basic nursing curricula for all nursing students.

The Mental Health Study Act of 1955 led to the establishment of the Joint Commission on Mental Illness; the commission’s report, Action for Mental Health, provided a nationwide stimulus to develop more effective services for people in need of psychiatric care.

The care of persons with mental illness was revolutionized in the late 1950s when chlorpromazine (Thorazine) first became available for widespread use.

Many of the most severe forms of mental illness most likely have a neurobiologic basis. As a result, treatment is based on the use of psychopharmacologic agents (i.e., drug therapy).

The 1963 Community Mental Health Centers Construction Act and its 1965 staffing amendments sought to revolutionize the provision of mental health care by emphasizing prevention and decentralized, local community treatment.

In the late 1980s, NIMH shifted its focus and funding from education and service delivery to research. This legislative shift resulted, in part, from intense lobbying by the National Alliance for the Mentally Ill (NAMI), an advocacy group of families and their families, each an integral part of the multidi-}


critical clinical nurse specialists and certified psychiatric nurse practitioners to prescribe psychopharmaceuticals, and Medicare, Medicaid, and most insurance plans reimburse them for their services.

In 1953, the National League for Nursing (NLN), the accreditation agency for schools of nursing, required the inclusion of psychiatric nursing clinical experience and coursework in all basic curricula and required that these subjects be taught by nursing faculty. Thus, all nursing students have some exposure to the practice and theory of psychiatric nursing. In 1955, the U.S. Congress passed the Mental Health Study Act. This act provided funds for a 5-year study of the problem of mental illness in the United States. As a result, the Joint Commission on Mental Illness was established. The commission’s report, Action for Mental Health, provided the stimulus for developing more effective services for people in need of psychiatric care.

A revolution in care occurred in the late 1950s when the first effective antipsychotic medication, chlorpromazine (Thorazine), became widely available. Although many other, more effective, medications are currently available, none have had the impact of chlorpromazine when it was first introduced. This medication controlled many of the most distressing symptoms experienced by patients, resulting in their becoming more amenable to other forms of treatment and being able to function better both in and out of hospitals.

On February 5, 1963, President John F. Kennedy addressed the Congress on mental illness and mental retardation, emphasizing the goal of community care for persons with mental illnesses. In that same year, Congress authorized the Community Mental Health Centers Construction Act, which was followed in 1965 by amendments to provide for staffing in the centers (P.L. 89-105). These acts sought to revolutionize mental health care by emphasizing prevention and decentralized, local community treatment over institutional care, even for persons with the most severe psychiatric difficulties. The first federally funded centers opened in 1966, initiating the deinstitutionalization of persons with mental illness.

In the late 1980s, NIMH shifted its focus and funding from education and service delivery to research. This legislative shift resulted, in part, from intense lobbying by the National Alliance for the Mentally Ill (NAMI), an advocacy group of families of mentally ill persons who demanded increased research into the cause and treatment of mental illness. Deinstitutionalization of the mentally ill was finally achieved in the late 1980s and early 1990s as a result of economic constraints and the availability of medications and services that enabled patients to function in the community. Currently, persons with mental illness who require hospitalization are likely to be admitted to a freestanding private hospital or a psychiatric unit in a general hospital. The nature of treatment has also changed. An individual admitted to the hospital no longer has to remain for months, with most staying for less than 2 weeks (Taylor, 1994).

Critical Thinking Question

What are the advantages and disadvantages for clients, families, communities, and healthcare practitioners when short-term hospitalization is deemed necessary?

Finally, a major paradigm shift has occurred in understanding the causes of major mental illness, and this shift has altered the nature of psychiatric treatment. As a result of increasingly sophisticated technology, scientists have proposed that many of the most severe forms of mental illness have a neurobiologic basis (see Chapter 5). As a result, treatment relies heavily on the ever-expanding array of psychopharmaceuticals as a single treatment modality or in conjunction with the more traditional “talking” therapies (individual psychotherapy, group therapy, family therapy; Figure 1-2). Furthermore, because of these findings, many graduate programs in psychiatric nursing have revised their curricula to emphasize neurobiology and psychopharmacology.

The 21st Century

The understanding of the causes and treatment of mental illness has increased dramatically over the centuries. The availability of increasingly sophisticated technology ensures even more dramatic advances in knowledge. Because of the increasing scope and complexity of this burgeoning knowledge, it is necessary for the multidisciplinary treatment team to work closely together to achieve the goals of preventing mental illness and effectively treating those who are ill. Therefore, the psychiatric nurse in the 21st century works collaboratively in the community with other healthcare practitioners, clients, and their families, each an integral part of the multidisciplinary treatment team utilizing a variety of treatments. Recognition of the patient as an integral member of the treatment team is reflected in the con-
whose problems have highly interrelated emotional physiological components.

Clinical psychologists have advanced education in the study of mental processes and the treatment of mental disorders. They have particular expertise in the use of inferential tools designed to assist in the diagnostic process and assessment of treatment effects. An example of such a tool is the Rorschach test, commonly known as the inkblot test (Figure 1-3).

Psychiatric-mental health nurse generalists and advanced practice psychiatric nurses work collaboratively in outpatient and inpatient treatment settings. According to the Society for Education and Research in Psychiatric-Mental Health Nursing, psychiatric-mental health nurses are registered nurses who are educationally prepared in nursing, licensed to practice in their individual states, and qualified to practice in the psychiatric-mental health nursing specialty at one of two levels: basic or advanced. All nurses bring expertise in assessing the client’s ability to engage in activities of daily living and to assist the client to cope as necessary. The nurse in an inpatient setting is responsible for establishing and maintaining an environment that is therapeutic for the client population as a whole. It is believed that the therapeutic nurse–client relationship is the hallmark of psychiatric nursing.

Psychiatric social workers are prepared at the master’s degree level and have particular skill in assessing familial, environmental, and social factors that contribute to the problems of clients and their families. The temporary use of the term client rather than patient when referring to the person in need of professional mental health services.

In addition to clients and their families, the multidisciplinary treatment team includes the psychiatrist, clinical psychologist, psychiatric mental health nurse, psychiatric social worker, and activities therapists, who teach, for example, life skills, art, and music. All mental health professionals share a common knowledge of and skill in interpersonal relationships and a deep appreciation of the inextricable relationships between mind and body and between genetics and the environment. Each professional discipline has a distinctive knowledge base and skills that enrich the treatment team.

Psychiatrists are physicians with several years of supervised residency training in the medical specialty of psychiatry. The psychiatrist prescribes medications and administers other somatic therapies, such as electroconvulsive therapy. Psychiatrists are particularly skilled in identifying and treating persons
Critical Thinking Question

Why would most mental health practitioners choose to use an eclectic approach to treatment? What are the advantages of this practice? Are there any disadvantages to this approach?

Psychoanalytic Model

Sigmund Freud is the founder of the psychoanalytic model. An Austrian neurologist, he developed an elaborate theory of human behavior based primarily on his work with persons suffering from disabling anxiety. Key Freudian concepts include levels of consciousness, structure of the personality, and psychosexual development. The treatment approach derived from his theories is termed psychoanalysis.

Theories of Personality Development and Conceptual Models

A conceptual model is a framework of related concepts. Most mental health practitioners use a variety of approaches to assist clients in achieving mental health.

The three levels of consciousness are the conscious, the preconscious, and the unconscious; the three aspects of the personality are the id, the ego, and the superego.

Three Levels of Consciousness

Freud believed in three levels of consciousness. The first level is the conscious mind, the part that is aware of the present and functions only when the person is awake. It represents the smallest part of the mind and directs an individual’s rational, thoughtful behavior.

The second level of consciousness is the preconscious (subconscious), the part of the mind in which thoughts, feelings, and sensations are stored. Although materials stored in the preconscious mind are outside of awareness, they can be brought to the conscious mind with the proper stimulus, such as a direct question.

The third level of consciousness is the unconscious, the largest part of the mind and the storehouse for all of the thoughts, feelings, and sensations experienced during the individual’s lifetime. The individual is rarely aware of the unconscious mind, except when it demonstrates its presence through dreams, slips of the tongue, unexplained behavior, jokes, and lapses of memory (Taylor, 1994). Belief in the existence of the unconscious is the basis for the saying, “All behavior has meaning.”

Structure of the Personality

The second major concept developed by Freud is the structure of the personality, consisting of three aspects—the id, the ego, and the superego. The id is part of and derived from the unconscious. It is unlearned, primitive, and selfish and does not have
a sense of right and wrong, ruthlessly insisting on immediate satisfaction of its impulses and desires, which are geared toward avoiding pain and experiencing pleasure. The other parts of the personality are responsible for keeping the id under control.

The ego develops as a result of the infant's interaction with its environment. It establishes an acceptable compromise between the crude, pleasure-seeking strivings of the id and the inhibitions of the superego through reality testing, a process that ascertains the likely consequences of behavior. The ego is the practical part of the personality. As an individual matures, the ego becomes the rational, reasonable, conscious part of the personality and strives to integrate the total personality into a smoothly functioning, coherent whole (Taylor, 1994).

Chronologically, the superego develops last, acting as the moral judge of the individual based on what the person has learned from significant others, such as parents and teachers. It operates mostly at the unconscious level and controls the id. The two aspects of the superego are the conscience, which punishes individuals through guilt and anxiety when their behavior deviates from the strict standards of the superego, and the ego ideal, which rewards individuals with feelings of well-being when their behavior achieves those standards believed desirable by the superego (Taylor, 1994).

Freud believed that when id impulses unacceptable to the superego threaten to emerge, the individual experiences anxiety. Anxiety is a diffuse, vague sense of impending doom, and it is always perceived as a negative emotion. Therefore, the person experiencing anxiety works to get rid of this feeling, often through the use of ego defense mechanisms, mental mechanisms derived from the ego that are designed to effect a compromise between the demands of the id and the superego to relieve anxiety. Ego defense mechanisms operate on the unconscious level, although an objective observer may be able to discern when others are using them. For example, a student who perceives herself as very intelligent but who fails a test may rationalize this otherwise anxiety-producing outcome by telling herself and others that the test was not important. Persons with whom she shares this belief may be very aware that she is using the ego defense mechanism of rationalization. Table 1-1 details commonly used defense mechanisms.

In contrast to the unconscious nature of defense mechanisms, coping mechanisms are conscious mental strategies or behaviors an individual employs to lower anxiety. Coping mechanisms are categorized as short term or long term and are as plentiful as the creativity and resourcefulness of human beings.

Short-term coping mechanisms are designed to address an immediate problem. For example, a person experiencing a great deal of work-related stress may drink alcohol as a means of coping. Although this action may relieve the immediate anxiety, it does not address the source of the stress or prevent the anxiety from reemerging. In contrast, long-term coping mechanisms address the cause of the anxiety and are likely to benefit the individual more than short-term coping mechanisms. Some examples are relaxation techniques, biofeedback, exercise, assertiveness training, setting goals, clarifying communications, visualization and guided imagery, meditation, yoga, seeking out peer support, and self-hypnosis.

Psychosexual Theory of Personality Development

Freud also defined the developmental stages of personality. His theory of personality development is termed the psychosexual theory of personality development. Freud maintained that personality is a dynamic, evolving process that develops from birth through young adulthood. Freud's stages of psychosexual development are oral (birth to 18 months), anal (18 months to 3 years), phallic (3 to 6 years), and genital (13 years to adulthood).

Although specific portions of Freud's theory are now viewed as an outgrowth of the Victorian era in which he lived, his theories provided the foundation for the work of subsequent theorists. Erik Erikson expanded Freud's theory of personality development to include the entire life span and emphasized the importance of culture as a major determinant of personality development. Erikson's theory of psychosocial development is called the Eight Ages of Man and encompasses trust versus mistrust (infancy, 0 to 1 year), autonomy versus shame and doubt (early childhood, 1 to 3 years), initiative versus guilt (preschool, 3 to 6 years), industry versus inferiority (school age, 6 to 12 years), identity versus role confusion (adolescence, 12 to 18 years), intimacy versus isolation (young adulthood, 18 to 25 years), generativity versus stagnation (adulthood, 25 to 45 years), and ego integrity versus despair (older adulthood, 45 years to death).
<table>
<thead>
<tr>
<th>Defense Mechanism</th>
<th>Definition</th>
<th>Example</th>
</tr>
</thead>
<tbody>
<tr>
<td>Compensation</td>
<td>Exaggerating one trait to make up for feelings of inadequacy or inferiority in another dimension.</td>
<td>A physically small man verbally bullies his employees.</td>
</tr>
<tr>
<td>Conversion</td>
<td>Expressing unconscious emotional conflicts through a physical symptom with no demonstrable organic basis.</td>
<td>A young woman wakes up paralyzed from the waist down on the morning of her wedding day.</td>
</tr>
<tr>
<td>Denial</td>
<td>Failing to perceive some threatening object or event in the external world.</td>
<td>A woman sets a place for dinner for her husband, who has just been killed.</td>
</tr>
<tr>
<td>Displacement</td>
<td>Attributing feelings to a person or object that are really directed at another person or object.</td>
<td>A young woman kicks her cat after a telephone argument with her boss.</td>
</tr>
<tr>
<td>Fixation</td>
<td>Remaining stuck in a developmental stage.</td>
<td>A husband depends totally on his wife for most of his activities of daily living.</td>
</tr>
<tr>
<td>Identification</td>
<td>Integrating desired attributes of an admired person to compensate for perceived inadequacy.</td>
<td>A shy adolescent girl styles her hair identically to that of a popular rock star.</td>
</tr>
<tr>
<td>Introjection</td>
<td>Incorporating another person to avoid the threat posed by the person or by one's own urges.</td>
<td>A psychotically depressed woman attempts suicide to kill her mother, whom she states is in her stomach.</td>
</tr>
<tr>
<td>Isolation</td>
<td>Severing the connection between the thoughts and feelings associated with an event so the event can remain conscious without undue anxiety.</td>
<td>A single parent talks unemotionally about her only child's recent diagnosis of a malignant brain tumor.</td>
</tr>
<tr>
<td>Projection</td>
<td>Attributing to others an objectionable trait or feeling that really emanates from oneself.</td>
<td>“My husband is cheating on me.”</td>
</tr>
<tr>
<td>Rationalization</td>
<td>Substituting a fictitious, socially acceptable reason for the genuine, unacceptable reason for one's wishes or actions.</td>
<td>“I would have helped you if I could, but I had to take my dog to the vet.”</td>
</tr>
<tr>
<td>Reaction formation</td>
<td>Substituting directly opposite wishes for one's true wishes.</td>
<td>An adult who grew up in a very messy home is compulsively neat in his or her own home.</td>
</tr>
<tr>
<td>Regression</td>
<td>Returning to patterns of behavior characteristic of a less anxiety-producing stage of development.</td>
<td>A 6-year-old girl begins to wet the bed at night after her mother's remarriage.</td>
</tr>
<tr>
<td>Repression</td>
<td>Forcibly dismissing anxiety-producing thoughts, feelings, or events from consciousness.</td>
<td>A woman is unable to remember being raped by her brother when she was 10 years old.</td>
</tr>
<tr>
<td>Sublimation</td>
<td>Redirecting socially unacceptable urges into socially acceptable behavior.</td>
<td>An angry, hostile young man becomes a boxer.</td>
</tr>
<tr>
<td>Symbolization and condensation</td>
<td>Using a neutral idea or object to represent an unacceptable idea or object.</td>
<td>A 40-year-old man has unconscious feelings of inadequacy as a male and spends all his money on guns and all his time polishing and cleaning them.</td>
</tr>
<tr>
<td>Undoing</td>
<td>Engaging in certain thoughts and actions so as to cancel out or atone for threatening thoughts or actions that have previously occurred.</td>
<td>A business executive studies to become a nursery school teacher after having an abortion.</td>
</tr>
</tbody>
</table>

Sullivan believed that all human behavior is goal directed toward the fulfillment of two needs—the need for satisfaction and the need for security. The need for satisfaction derives from the person's biologic needs for air, food, sex, shelter, and so on. The need for security derives from a person's emotional needs for feeling states such as interpersonal intimacy, status, and self-esteem. When these needs are perceived, internal tension results and the individual employs a variety of methods to meet them and thereby reduce the tension. Sullivan termed these methods dynamisms. He emphasized that dynamisms are age specific, which helps to explain the characteristics of each stage of personality development, from infancy (birth to 18 months), childhood (18 months to 6 years), preadolescence (9 to 12 years), early adolescence (12 to 14 years), and late adolescence (14 to 21 years). During infancy, the oral cavity is used almost exclusively to meet the needs for satisfaction (by crying to be fed) and the needs for security (by crying to be held). Therefore, the stage of infancy is characterized by the oral dynamism, because it is the means through which the individual establishes interpersonal contact to meet needs and reduce tension (Taylor, 1994).

The concept of anxiety is central to Sullivan's theory. He postulated that anxiety is a response to feelings of disapproval from a significant adult. These feelings of disapproval may or may not be based on reality, and the adult whose disapproval is feared may be real or a symbolic representation. Sullivan believed that people defend against such anxiety by using security operations, including apathy, somnolent detachment, selective inattention, and preoccupation. Individuals use apathy by not allowing themselves to feel the emotion associated with an anxiety-producing event. Somnolent detachment is a primitive defense in which an individual falls asleep when confronted by a highly threatening, anxiety-producing experience. More common is selective inattention, in which anxiety-producing aspects of a situation are not allowed into awareness, enabling the individual to maintain a sense of stability. Preoccupation manifests as a consuming interest in a person, thought, or event to the exclusion of the anxiety-producing reality.

Sullivan defined the self-concept as the result of reflected appraisals of significant others. He believed that the development of the self-concept begins in the stage of infancy and is closely related to the quality of the infant's feeding experiences. If infants frequently experience satisfaction and security from the mothering they receive during the feeding process, they begin to see themselves as worthwhile individuals; if they start to develop what Sullivan refers to as "good me" self-concepts. However, if their needs for satisfaction and security often are not met, anxiety results and infants believe they are not worthwhile; this lays the foundation for the development of "bad me" self-concepts. In extreme cases where infants are severely deprived or when the majority of interpersonal relationships are fraught with great threats to their existence, infants defend themselves by dissociating the anxiety-generating experiences. As a result, because they cannot develop a sense of self from reflected appraisals, infants develop a "not me" self-concept, which may lead to severe emotional problems.

Once developed, the self-concept tends to self-perpetuate because people behave in a manner consistent with their self-concept and elicit interpersonal responses from others that reinforce their self-concept. Anxiety occurs when others' responses are incongruent with a person's self-concept. People deal with this anxiety by utilizing security operations that enable them to ignore differing input. This theory helps to explain why some persons succeed against all odds and others fail despite all advantages.

Behavioral Models

Unlike the psychoanalytic and interpersonal models, behavioral models are concerned with the here and now, not with how or why people developed the behavior they currently exhibit. Ivan Pavlov (1849–1936) was the first behavioral researcher. His work on classical conditioning is well known to all students of psychology. Classical conditioning focuses on involuntary behaviors, such as blinking and salivation. In classical conditioning, a person has a reaction to a neutral event because the reaction and the event have become associated. For example, a person who exhibits the involuntary symptoms of anxiety (pounding heart, rapid respirations) when he or she sees a picture of a tall building somehow has learned to associate tall buildings or heights with danger.

The theory of operant conditioning has been credited to B. F. Skinner (1904–1990) and his associates. Operant conditioning is concerned with the relationship between voluntary behavior and the environment. Skinner demonstrated that behaviors are influenced by their consequences; those behaviors...
that have a positive consequence increase in strength and are likely to be repeated, whereas behaviors that result in negative consequences are weakened and are less likely to be repeated (McLeod, 2007).

Increasing a desired behavior is achieved through positive and negative reinforcement. **Positive reinforcement** rewards the desired behavior. For example, a person who receives a pay raise because he or she produced more widgets is likely to continue trying to produce even more widgets, assuming that he or she values an increase in pay. **Negative reinforcement** increases the frequency of a behavior by reinforcing the behavior’s power to control a negative stimulus. For example, children quickly learn which behaviors are likely to prevent their parents from yelling at them.

Decreasing behavior is a more difficult task. It is achieved through punishment, response cost, and extinction. **Punishment** is an aversive stimulus that occurs after the behavior and serves to decrease its future occurrence. For example, a child whose parents make him take a time out by standing in the corner every time he uses a swear word is likely to decrease his use of swear words after several time outs. In **response cost**, a person experiences a loss or penalty as a consequence of engaging in a certain behavior. The teenager who is grounded 1 day for every 5 minutes she is late coming home from a date is likely to arrive home on time after several experiences of being grounded. **Extinction** is the process of eliminating a behavior by ignoring or not rewarding it. Repeatedly ignoring a child’s temper tantrums is an example of extinction. Efforts to increase or decrease behavior require a plan of treatment that is consistently implemented and avoids unintended secondary gains, such as getting much-desired attention (McLeod, 2007).

### Self-Actualization Model

Abraham Maslow, an American psychologist, first published his book, *Towards a Psychology of Being*, in 1962, postulating a theory of quality of life. He described his theory as a psychology of self-actualization based on a concept of meeting various human needs. Maslow identified a hierarchy of needs that motivate individuals moving from the most basic to the highest level of need, often described as a pyramid of progressive needs. In order, these needs are (Maslow, 2011):

- **Biological and physiological needs**: Air, food, water, shelter, warmth, sexuality, sleep, homeostasis, freedom from pain
- **Safety needs**: Protection from the elements, harm, loss, and assurance of security, order, law, limits, and stability
- **Belonging and love needs**: Acceptance in meaningful groups (family, peers, and workplace), affection, commitment, and relationships
- **Esteem needs**: Self-respect, achievement, mastery, independence, status, workplace responsibility
- **Self-actualization needs**: Realizing personal potential, self-fulfillment, morality, creativity, problem-solving, lack of prejudice, seeking personal growth

Some theorists have postulated an additional level called transcendence needs, helping others to achieve their own self-actualization. Increasingly, healthcare practitioners are understanding that when a client’s diseases and/or health status do not improve in spite of the best medical and nursing care, that the key lies in the client’s feelings of empowerment and ability to achieve his or her hierarchy of needs.

### Cognitive Models

**Cognitive models** address mental processes such as memory, attention, perception, thinking, problem solving, decision making and communication. During the 1st decade of the 21st century, the National Institute of Mental Health has been supporting research on how the many facets of cognition relate to mental disorders.

Two pioneers in cognitive therapy were Aaron Beck, a psychiatrist and researcher, and Albert Ellis, a psychologist and psychotherapist. Dr. Beck’s early research focused on increasing depressed patients’ objectivity regarding their misinterpretations (cognitive distortions) of situations, sensations, or feelings. His cognitive therapy focused on teaching patients how to modify their cognitive distortions and cope more effectively with their psychological and interpersonal behaviors. He discovered that the content of patients’ thoughts was mainly focused on negative ideas about themselves, the world, and the future. He was able to demonstrate that patients with psychiatric disorders (especially depression, bipolar disorder, panic disorder, and schizophrenia) who participated in cognitive therapy in conjunction with psychopharmacology had more successful outcomes. Currently, cognitive behavioral therapy continues to prove to be an effective modality (Beck, 2011).

Dr. Ellis developed the ABC cognitive model based on a belief that dysfunctional emotions and
behaviors come from underlying beliefs in how patients appraise events, persons, and their inner world. Irrational beliefs produce dysfunctional emotions while rational beliefs culminate in functional emotions. He encouraged his patients to identify the "Activating event," explore the "Belief" or expectation, and understand the "Consequences." He developed a method of short-term therapy (Rational Emotive Behavior Therapy) that had patients focusing on what was happening in their lives and taking immediate action to change their behavior (Ellis & Dryden, 1997).

**Developmental Models**

There is a variety of developmental models offered by theorists that assist one in understanding how growth and development impact upon an individual's mental health. These include:

- An attachment model (John Bowlby, 1907–1990) based on the establishment of trust, bonding, and attachment as essential to the survival of the human species.
- A behavior modification model postulated by Fritz Redl (1902–1988) and David Wineman in which children are taught how to establish controls from within and that there are consequences (natural, logical, and unrelated) to one's behavior.
- A psychosocial model (Erik Erikson, 1902–1994) based on the importance of trust as a basic building block for normal psychological development that includes eight stages of developmental growth (see Chapter 27).
- A developmental model (Jean Piaget, 1896–1980), focused on the process involved in a child's ability to know and understand. He was the first theorist to postulate the different maturation cycles involved in how children gain an awareness of self through cognitive abilities. His stages of cognitive development include sensorimotor (birth to 18 months), preoperational (2 to 7 years), concrete operations (6 to 12 years), and formal operations (12 years to adulthood). For more on this topic, see Chapter 27.

**Neurobiologic Model**

In the late 20th century, it became apparent that an understanding of the brain and the nervous system is basic to understanding the symptoms, processes, and treatment of mental illnesses and disorders. Molecular biology is the foundation for molecular psychiatry.

Psychiatric-mental health nurses and other clinicians are increasingly challenged to understand neurons, neuronal transmitter brain receptors, ion channel variants, and intercellular neuronal molecules and their effect on neural circuits, and ultimately, the behavior of individuals. The neurobiologic model and its associated psychopharmacologic treatments are driving forces in modern psychiatric interventions. For most clients, pharmacologic treatment controls the main symptoms of the mental illness or disorder and is used in conjunction with supportive therapies such as individual psychotherapy, group therapy, family therapy, and self-help groups.

**Stress Adaptation Model**

Hans Selye, the father of modern stress theory (1907–1982), pioneered the concept of biological stress (general adaptation stress). More recently, researchers have explored the role of corticotropin-releasing factor (CRF) signaling pathways in the endocrine, behavioral, autonomic, and immune responses of individuals. Researchers have been particularly studying the relationships among early childhood traumas, socioeconomic status, family environment, and genetic predispositions as stressors on these major regulatory systems, culminating in adverse mental and physical health outcomes in adults (Taylor, Way, & Seeman, 2010). While there appears to be a strong correlation between early childhood trauma and neurobiological changes in the brain, there is also evidence that maladaptive behaviors related to these alterations can be changed by evidence-based interventions from mental health practitioners (Rich & Douglas, 2007).

Interpersonal Theory of Psychiatric Nursing Practice

The practice of professional nursing has a long history of various nursing philosophies (including those of Florence Nightingale, Jean Watson, and Patricia Benner); nursing models (behavioral, systems, self-care deficit, adaptation), and theories (interpersonal, role modeling, cultural diversity, and nursing process). Nurse theorists and psychiatric-mental health nursing specialists have stressed the interpersonal dimen-
Chapter 1  Introduction to Psychiatric-Mental Health Nursing

implementation of nursing in their various conceptual models and their shared understanding that some form of personal interaction with patients or clients is the basis of the practice of the nursing profession.

Hildegard Peplau, as a nurse educator, clinician, and researcher, has had the greatest impact on the development and practice of psychiatric nursing. Although Peplau held a number of significant positions, she is best known for initiating and developing the graduate program in psychiatric nursing at Rutgers University, where she was the director for 20 years. Her textbook, *Interpersonal Relations in Nursing*, first published in 1952, significantly changed psychiatric nursing from a medical model to an interpersonal model in which the nurse has a major role in therapeutic interventions. It was empowering to nurses and the nursing profession at a critical time when their contributions to the care of mentally ill patients were not recognized. Furthermore, her theories were widely applicable to the practice of nursing in all settings and with all types of patients. The major concepts of Peplau’s theories are psychodynamic nursing, the nurse–patient relationship, and nursing roles.

As described by Marriner-Tomey, “Psychodynamic nursing is being able to understand one’s own behavior to help others identify felt difficulties, and to apply principles of human relations to the problems that arise at all levels of experience” (1998, p. 327). The therapeutic nurse–patient relationship is the concept for which Peplau is best known; it is considered the hallmark of psychiatric-mental health nursing. She describes an interaction between the nurse and patient as having four distinct yet overlapping phases: orientation, identification, exploitation, and resolution. In the orientation phase there is a felt need, and the patient seeks professional assistance. Identification occurs after the patient has clarified the situation and begins to respond selectively to the various healthcare practitioners. In the exploitation phase, the patient has identified with a specific nurse and makes full use of all offered services. The resolution phase occurs as the patient gradually relinquishes identification with the caregivers (nurses and others) and is once again independent (Peplau, 1952).

Finally, Peplau describes six different nursing roles that emerge in the various phases of the nurse–patient relationship: stranger, resource person, teacher, leader, surrogate, and counselor. The roles change with the patients and the circumstances. Peplau emphasized that skill in these roles is developed only through practice and with ongoing, competent supervision.

With support from the National Institute of Mental Health, Ida Jean Orlando (Pelletier) was able to develop a theory that clearly described what nurses consider to be good and bad nursing practice (Alligood & Tomey, 2006). In 1961 in *The Dynamic Nurse–Patient Relationship: Function, Process and Principles of Professional Nursing Practice*, Orlando described the reciprocal relationship between patient and nurse; this description has had great impact on psychiatric nursing. Equally significant in her theory is the importance of the nurse’s mind in the process and nurse’s recognition of the need to acquire new knowledge in many different domains (physiological, psychosocial, research based, administrative, and political) to address differing patient situations (Alligood & Tomey, 2006). She was one of the first leaders of nursing to emphasize the elements of the nursing process and the critical importance of the patient’s participation during the nursing process (Marriner-Tomey, 1998). Additionally, Josephine Paterson and Loretta Zderad have developed a humanistic theory that defines nursing as a nurturing response aimed at achieving well-being. Their framework incorporates the importance of practice, education, and research into clinical nursing practice (O’Connor, 1993). As with Peplau’s theory, the theories of Orlando, and Paterson and Zderad have been demonstrated to apply to all nurse–patient interactions.

Critical Thinking Question

Which of the previously described nursing models do you think would be most useful in the practice of psychiatric-mental health nursing, and why?

**Treatment Modalities**

Based on the conceptual models used by the therapist and an assessment of the needs of the client, a treatment modality is selected, implemented, and evaluated. The following briefly describes the most commonly used treatments.

**Individual Therapy**

*Individual therapy* focuses on the person and includes other aspects of a person’s life only as they relate to the individual. Psychoanalysis was the original form of individual therapy, although almost all conceptual models are now implemented as types of
individual therapy. Individual therapy continues to be the most commonly used form of mental health therapy, although most therapists agree that treating individuals in the absence of their social support groups is not optimal.

**Family Therapy**

Although all nurses are concerned with the family’s impact on the client, only those educated as advanced practice psychiatric nurses function as family therapists. Family therapy is based on the belief that the person identified as ill, the identified client, exhibits symptoms that emanate from problems within the family system. Therefore, treatment of the identified client in isolation from his or her family is doomed to failure. Two of the theoretical bases of family therapy are family systems therapy and structural family therapy.

**Family systems therapy** was developed by Murray Bowen in the 1950s and is based on the belief that families are systems in which change in one aspect of the system affects the entire system. Therefore, when there is a change in the functioning of one family member, the entire family is affected. Family systems theory consists of seven interlocking concepts. Three concepts apply to overall characteristics of family systems: differentiation of self, triangles, and the nuclear family emotional system. The other four concepts are related to the central family characteristics: multigenerational transmission process, family projection process, sibling position, and emotional cutoff (Stuart, 2008).

Bowen believes that a member’s movement toward either increased emotional closeness or distance is reflexive and predictable. The higher the level of differentiation, the higher the level of functioning. Differentiating the self from “we-ness” is the ultimate goal of treatment (Stuart, 2008). Family genograms are commonly used to depict the familial emotional system through generations (Figure 1-5). A *genogram* is a diagram or map of multiple generations of a family indicating family relationships, life events, family functioning, and significant developmental events. Men are represented by boxes, and women are represented by circles. Other symbols and lines represent births, deaths, marriages, cohabitation, children, pregnancies, adoptions, divorces and separations, ethnic and religious origin, health and illness, risk factors, and geographic locations.

**Structural family therapy** was developed by family therapist Salvatore Minuchin. It is based on understanding the individual within a social context. Minuchin postulated that behavior is a consequence of family interaction. A genogram is a map of a family for several generations. It is a very useful picture that reveals multigenerational patterns. An example of a genogram is shown here.

![A genogram is a map of a family for several generations. It is a very useful picture that reveals multigenerational patterns. An example of a genogram is shown here.](image)

**Figure 1-5** An example of a genogram.
Chapter 1  Introduction to Psychiatric-Mental Health Nursing

Milieu therapy is the use of the environment as a therapeutic tool. The basis of milieu therapy is the belief that all human beings are affected by their physical, social, and emotional climate. Therefore, the physical, social, and emotional climate may be structured to help those who have a mental illness. For example, research has documented that clients who are acutely ill respond best to a structured, consistent, and nonstimulating environment. In contrast, individuals with a mental illness who are well enough to live in the community often benefit from treatment environments they have actively helped to create and maintain (Taylor, 1994). Therapeutic treatment settings have the following characteristics:

- The client’s physical needs are met.
- The client is respected as an individual with rights, needs, and opinions and is encouraged to express them.
- Decision-making authority is clearly defined and distributed appropriately among clients and staff.
- The client is protected from injury from self and others, but only those restrictions necessary to afford such protection are imposed.
- The client is afforded increasing opportunities for freedom of choice, commensurate with his or her ability to make decisions.
- The staff remains essentially constant.
- The environment provides a testing ground for the establishment of new patterns of behavior.

Critical Thinking Question

What do you think is the impact of the nurse–patient reciprocal relationship on the practice of psychiatric-mental health nursing?

Group Therapy

Group therapy became a standard intervention for the treatment of persons diagnosed with a mental illness during and immediately after World War II. A group is an identifiable system composed of three or more individuals who engage in certain tasks to achieve a common goal. The therapeutic group differs from a social group because its goal is to assist individuals to alter their behavior patterns and to develop new and more effective ways of dealing with the stresses of daily living.

There are four developmental phases for all groups: the preaffiliation phase, the power and control phase, the working phase, and the termination phase.

Regardless of their type, all groups go through four developmental phases, and certain group behaviors characterize each phase. The first phase is the preaffiliation phase, during which members become acquainted with each other and develop trust in one another and in the group leader. Some groups are never able to move beyond this first phase. If the group is successful in achieving trust, it enters the second phase, termed the power and control phase. During this phase, intragroup conflict is experienced as members test each other and the group leader. If this phase is successfully negotiated, the group enters the third phase, termed the working phase. During this phase, the goal of the group is addressed directly. For example, in a task group, the members are able to address the task the group was formed to accomplish.

The final phase is the termination phase. Group members integrate what they have learned about themselves and the behavioral changes they have made so that they can use these skills in the future. The success of the group is determined to some extent by the skill of the group leader or coleaders whose interventions must be appropriate to the group’s development. For example, the question, “What is our purpose?” in the first phase is likely to be an attempt to set boundaries and orient group members. Therefore, a direct, factual answer is most appropriate. The same question asked during the next stage of group development is likely to represent a testing behavior and is best answered by reflecting the question back to the group as a whole.

Group theorist Irvin D. Yalom has identified 11 operative factors that appear to account for the therapeutic efficacy of groups: the imparting of information, the instillation of hope, universality, altruism, corrective recapitulation of the primary family group, development of socializing techniques, imitative behavior, interpersonal learning, existential factors, catharsis, and group cohesion (Yalom & Leszcz, 2005).
Developmental crises may be averted by anticipatory notions of baby powder and teething biscuits. For example, the demands placed on a young couple with normal developmental transitions with which they are not prepared to cope. For example, the demands placed on a young couple by the birth of their first child may precipitate a crisis state if their idea of parenthood was fashioned by romantic notions of baby powder and teething biscuits. Developmental crises may be averted by anticipatory guidance, an educative process in which individuals and families are prepared for the normal life changes expected at each stage of development and are told about successful coping strategies. Self-help groups and books are common sources of anticipatory guidance.

In contrast, situational crises are precipitated by unpredictable events for which people cannot prepare, such as the sudden death of a child. Whereas the death of one's parent is a normal developmental event, the sudden death of one's child is an untoward event for which no one can be prepared. In such events, parents have no recourse other than general coping strategies, such as their religious faith and family cohesiveness. If these are adequate, a crisis state may be averted. If these or similar strategies do not exist or are insufficient, a crisis state occurs.

The goal of crisis intervention is to assist the individual and family to seek new and useful adaptive mechanisms within the context of the social support system. The steps of crisis intervention are deceptively simple: clients must achieve an accurate perception of the event that precipitated the crisis state, become aware of the human and material resources available to assist them, and learn how to manage their feelings. Even though these three steps seem simple, the crisis intervention counselor often spends hours helping clients tell and retell their experiences to identify the significance of the events and to identify and plan the use of resources. This process often must be repeated several times, but the reward of promoting the mental health of clients more than justifies the amount of time spent and the flexibility required.

Crisis Intervention

A crisis is a “state of disequilibrium resulting from the interaction of an event with the individual’s or family’s coping mechanisms, which are inadequate to meet the demands of the situation, combined with the individual’s or family’s perception of the meaning of the event” (Taylor, 1994, p. 456). Thus, not every untoward event precipitates a crisis state in all individuals and families. Crisis intervention is of great interest to mental health professionals, because it provides a specific opportunity to prevent mental illness and to promote mental health. Research has documented that there are three potential outcomes to a crisis state: (1) the individual or family may reintegrate at a lower or less healthy level of functioning than the one before the crisis; (2) the individual or family may reintegrate at the same level of functioning as previously; or (3) the individual or family may reintegrate at a higher, healthier level of functioning than the level before the crisis experience. This last potential outcome promotes mental health and is most likely to be achieved with skilled intervention.

There are two types of crises—developmental and situational. The events that precipitate developmental crises are predictable and occur in conjunction with normal developmental transitions with which the individual and family are not prepared to cope. For example, the demands placed on a young couple by the birth of their first child may precipitate a crisis state if their idea of parenthood was fashioned by romantic notions of baby powder and teething biscuits. Developmental crises may be averted by anticipatory guidance, an educative process in which individuals and families are prepared for the normal life changes expected at each stage of development and are told about successful coping strategies. Self-help groups and books are common sources of anticipatory guidance.

Summary

Historically, mentally ill persons have been poorly treated by society, suffering abandonment, beatings, starvation, and imprisonment. More humane models of treatment were short lived until the recent advent of therapeutic models of care and the availability of somatic therapies.
reliable psychopharmaceuticals. Because of the current scope and complexity of the burgeoning knowledge about the causes and treatment of mental illness, it is necessary for the multidisciplinary treatment team to work closely together to achieve the goals of preventing mental illness and effectively treating those who are ill.

The major conceptual models are the psychoanalytic, interpersonal, behavioral, cognitive, developmental, and neurobiologic models. These models are supplemented by Peplau’s interpersonal theory of psychiatric nursing practice. The therapeutic nurse–patient or nurse–client relationship is the hallmark of psychiatric nursing. The treatment modalities commonly utilized include individual, family, group, milieu, and somatic therapies, with consideration of the need for crisis intervention.

Annotated References


Beers, C. (1923). A mind that found itself. New York, NY: Doubleday. This is Beer's autobiographical account of his mental illness, hospitalizations, treatment, and recovery.

Ellis, A., & Dryden, W. (1997). The practice of rational emotive behavior therapy. New York, NY: Springer Publishing. This is an insightful description of this form of cognitive therapy by its founder, Dr. Ellis, and one of his disciples.


This new edition of this classic book brings Professor Maslow's ideas to a whole new generation of psychology and management readers, as well as anyone interested in the study of human behavior.


Peplau, H. (1952). Interpersonal relations in nursing. New York, NY: G. P. Putnam. This classic psychiatric nursing textbook provides the basic concepts to guide professional nurses in establishing mature therapeutic relationships with clients (patients) with all types of conditions and in all settings.


Taylor, C. M. (1994). Essentials of psychiatric nursing. St. Louis, MO: Mosby-Yearbook. This classic text was designed for the beginning student. It includes many approaches to dealing with problem situations.

The authors examine early childhood socio-economic status, family environment, and genetic predispositions as antecedents to socio-emotional functioning and psychological distress and physical health outcomes.


This article provides an excellent description of the conditions and events contributing to the development of contemporary psychiatric nursing.


This standard text about group psychotherapy covers all aspects of group psychotherapy with helpful hints for addressing difficult situations.

### Additional Resources

Albert Ellis Institute. [http://www.rebt.org](http://www.rebt.org)

Beck Institute for Cognitive and Behavioral Therapy. [http://beckinstitute.org](http://beckinstitute.org)


This article describes the profound influence of the American Nurses Association on the development of psychiatric-mental health nursing.


This is the final report of the commission’s recommendation for the development of more effective mental health services for the nation.


In this book, King’s first publication, she proposes a theory for nursing practice based on systems theory.

### Summary


This article explores the tension between defining psychiatric-mental health nursing as fundamental to the discipline yet also special. The formative works of Hildegard Peplau, Dorothy Mereness, and Claire Fagin are cited.


First published in 1980, Orem’s basic book explains her self-care deficit nursing theory.


This book, Orlando’s first publication, explores the nurse–client relationship.


This article describes the historical context and current trends in the care of people with psychiatric disorders.


This article provides a broad view of the work of psychiatric nurses in the United States during the previous century.


This book is a compilation of 36 articles written by leaders in psychiatric nursing prior to 1963. It provides a unique perspective on the roots of the specialty.

### Internet Resources

For a full suite of assignments and additional learning activities, use the access code located in the front of your book to visit this exclusive website: [http://go.jblearning.com/mentalhealth](http://go.jblearning.com/mentalhealth). If you do not have an access code, you can obtain one at the site.